

MAR 14 2005

PTO/SB/122 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035

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### Application

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P.O. Box 1450

Alexandria, VA 22313-1450

Application Number

10/608,993

Filing Date

June 27, 2003

First Named Inventor

Pfaller et al.

Art Unit

1661

Examiner Name

Unknown

Attorney Docket Number

112701-553

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Applicant/Inventor.



Assignee of record of the entire interest.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).



Attorney or Agent of record. Registration Number

30,142



Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number

Typed or Printed  
Name

Robert M. Barrett

Signature

Date

March 10, 2005

Telephone

312-807-4204

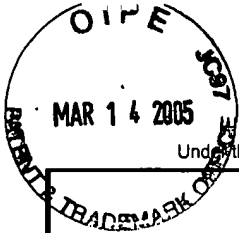
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.



\*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Nestec S.A.

Application No./Patent No.: 10/608,993

Filed/Issue Date: June 27, 2003

Entitled: EXTRUSION PROCESS, APPARATUS AND PRODUCT

Nestec S.A.

, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014779, Frame 0709, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document (s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

\_\_\_\_\_  
Signature

Robert M. Barrett

Printed or Typed Name

\_\_\_\_\_  
Attorney of Record

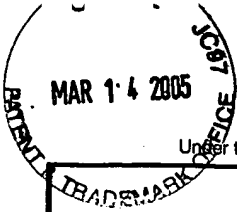
\_\_\_\_\_  
Title

March 10, 2005

\_\_\_\_\_  
Date

312-807-4204

\_\_\_\_\_  
Telephone number



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Nestec S.A.

Application No./Patent No.: 10/608,993 Filed/Issue Date: June 27, 2003

Entitled: **EXTRUSION PROCESS, APPARATUS AND PRODUCT**

Nestec S.A., a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014779, Frame 0709, or for which a copy thereof is attached.

OR

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Robert M. Barrett  
Signature

March 10, 2005  
Date

Robert M. Barrett  
Printed or Typed Name

312-807-4204  
Telephone number

Attorney of Record  
Title

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PTO/SB/80 (12-03)

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Practitioners associated with the Customer Number:

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OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

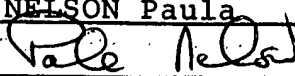
Assignee Name and Address:

Nestec S.A.  
Avenue Nestlé 55  
CH-1800 Vevey  
Switzerland

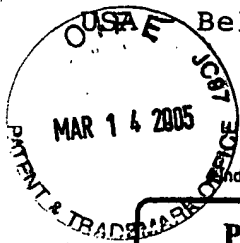
A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	NELSON Paula		
Signature		Date	12 JAN. 2005
Title	Vice President	Telephone	

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Bell & Boyd

PTO/SB/80 (12-03)

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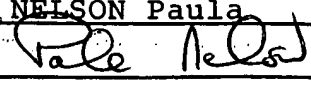
Assignee Name and Address:

Nestec S.A.  
Avenue Nestlé 55  
CH-1800 Vevey  
Switzerland

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

### SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	NELSON Paula		
Signature		Date	12 JAN. 2005
Title	Vice President	Telephone	

SEND TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

MAR 14 2005

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
112701-553

In Re Application Of: Pfaller et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/608,993	June 27, 2003	Unknown	29157	1661	6416

Title:

**EXTRUSION PROCESS, APPARATUS AND PRODUCT**

COMMISSIONER FOR PATENTS:

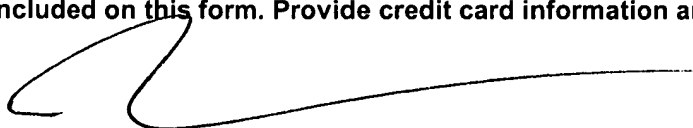
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- ☒ No additional fee is required.
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*Signature*

Dated: March 10, 2005

Robert M. Barrett (30,142)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, IL 60690-1135

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*Signature of Person Mailing Correspondence*

**Heather Foster**

*Typed or Printed Name of Person Mailing Correspondence*

CC: